#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

## (19) World Intellectual Property Organization International Bureau





## (43) International Publication Date 25 January 2001 (25.01.2001)

#### **PCT**

## (10) International Publication Number WO 01/06345 A1

(51) International Patent Classification7:

....

- (21) International Application Number: PCT/US00/18962
- (22) International Filing Date:

12 July 2000 (12.07.2000)

(25) Filing Language:

English

G06F 3/12

(26) Publication Language:

English

(30) Priority Data:

60/143,731

14 July 1999 (14.07.1999) US

- (71) Applicant: FARGO ELECTRONICS, INC. [US/US]; 6533 Flying Cloud Drive, Eden Prairie, MN 55344 (US).
- (72) Inventors: LENZ, Gary, A.; 6536 Minstral Lane, Eden Prairie, MN 55346 (US). INNES, Robert, J.; 14344 Alabama Avenue South, Savage, MN 55378 (US).

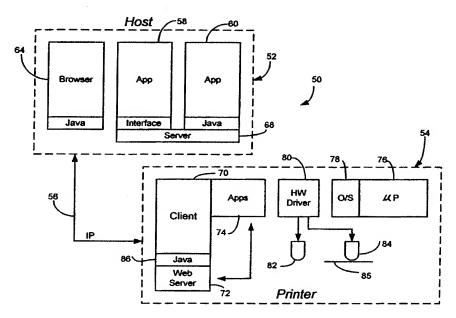
- (74) Agents: CHAMPLIN, Judson, K. et al.; Westman, Champlin & Kelly, P.A., Suite 1600 - International Centre, 900 Second Avenue South, Minneapolis, MN 55402-3319 (US).
- (81) Designated States (national): CN, JP, KR.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

#### Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

#### (54) Title: IDENTIFICATION CARD PRINTER WITH CLIENT/SERVER



(57) Abstract: An identification card printer (54) for printing an image on an identification card (85), is provided which includes a print mechanism (82) adapted to deposit material on to the card (85). A network adapter is configured to couple to a network (56). A print drive module (74) controls the print mechanism in response to a drive module input. A client/server module (70, 72) is coupled to the network adapter and functions as a client on the network (56) to responsively provide data to the drive module input. The client/server module (70, 72) can further function as a server on the network (56) to serve data to the network (56).



A1

-1-

# IDENTIFICATION CARD PRINTER WITH CLIENT/SERVER

#### BACKGROUND OF THE INVENTION

The present invention relates to identification cards. In particular, the present invention relates to printers which are used to print identification cards.

Identification cards have found widespread use for carrying identification and security related information for an individual. It is often desirable identification card create an using identification card printer. In fact, identification card printers are often used to print large numbers cards, such as drivers' licenses. Such identification card printers have typically connected to the parallel port of a computer which is used for receiving print data and a serial part which is used for receiving a second type of data such as smart card encoder information.

In general, printers are viewed as output devices which convert a text or image file from a computer application to a visual image on media such as paper or a plastic card. Identification card printers are a unique application because they are both input and output devices. Identification card printers convert an image/text from a host computer application into a visual image on a plastic card. provides full duplex the printer Further. communications to an application resident on the host

10

15

20

10

15

20

computer for secure encoding of information from the host to a data media on the card e.g. magnetic stripe, proximity transceiver memory, smart card memory or a microcontroller, for example.

Full duplex communications from the printer over a connection requires the customization of a software driver to provide the necessary Application Programmers Interface (API) to the plethora applications that may be required to construct a card. Each driver is customized to the printer, host operating system, applications. API's and communications hardware. This customization leads to a large number of drivers which represent a large investment for the printer manufacturer in driver development and a significant confusion for the user as they sort through which driver to use.

The nature of the communication techniques used to communicate with identification card printers has tended to limit their functionality and the efficiency with which they are used. Further, the communication techniques have resulted in a significant portion of the product development budget being consumed by software development required for driver compatibility.

25 <u>SUMMARY OF THE INVENTION</u>

An identification card printer for printing an image on an identification card, is provided which includes a print mechanism adapted to deposit material on to the card. A network adapter is configured to couple to a Same

25

network. A print drive module controls the print mechanism in response to a drive module input. A client/server module is coupled to the network adapter functions as a client on the network to responsively provide data to the drive module input. The client/server module is further functions as a server on the network and serve data to the network.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a simplified block diagram 10 showing a prior art printer coupled to a personal computer.

Figure 2 is a simplified diagram showing a personal computer coupled to an identification card printer in accordance with one example embodiment of the present invention.

Figure 3 is a more detailed diagram showing the different hardware and software layers in a personal computer and identification card printer in accordance with the invention.

#### 20 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention includes an identification card printer, (i.e., a printer used to print onto identification cards), which is capable of communicating using a standardized network communication protocol and/or a standardized object based data structure.

Printers have been connected to host computers via a network or point-to-point communications link such as a serial or parallel

port. Applications resident on the host computer process information that is communicated over the network link to an output device, the printer, which converts the information to a visual image on a media such as a paper or plastic card.

However, the plethora of host computer operating systems, networking protocols application programmer's interfaces (API's) results in a confusing array of printer drivers which are 10 narrowly optimized for a specific printer, host operating system, application API etc. This situation results in confusing for the user of the printer and considerable expense in the driver developer. Identification cards have over laminates that provide for increased card durability and security and use 15 customized hologram secure markings. The lamination process control settings (time, temperature, speed pressure) are commonly communicated printer via a host configuration application. lamination configuration is commonly provided by an 20 application other than the print image generation application. Identification cards may contain data on media including magnetic stripes, variety of proximity transceivers with memory and smart card chips. The identification card printer provides the 25 encoding for one or more of these data media. encoding applications are often provided by several software manufacturers and reside on computer. The communication and validation of the

10

15

20

information for card encoding adds further complexity to the development of drivers to configure and communicate the necessary data to manufacture a card.

Identification card printers are complex electro-mechanical devices often having several hundred component parts. It is desirable to provide information on the health of the printer and the status of the card printing and encoding process to a host computer or a networked remote computer for providing a visual alert of problems and for The validation of successful encoding. need accommodate full duplex communications between the identification card printer and host computer further complicates the development of a driver. Standard architectures such system driver operating Microsoft Windows, or Macintosh do not typically support full duplex communications and custom "work arounds" are needed for identification card printers.

In one aspect, the invention provides for a printer with an embedded network hybrid client/server that uses standard networking technologies such as OPC, JAVA, and XML (eXtensible Markup Language) to eliminate or reduce the need for developing drivers that are operating system and printer specific. In one aspect, this is achieved through the use of an object server data communication technique. In one aspect, the data objects can be defined by the communication technique such that new objects can

easily be added as new or different printers are introduced.

Identification card printers function as a manufacturing card system. Identification printers are provided text and image data via a communication interface from a host computer which is running a resident application. Such applications often rasterize an image provided from a digital camera or scanned image and provide the required color settings for the printer's print engine. A 10 typical system is shown in Figure 1. Figure 1 shows a prior art printer 22 running five example applications 12,14,16,18,20 which communicate with printer 22 over a communication link 24. Frequently, link 24 comprises multiple physical links (parallel, 15 etc.) to allow computer 10 to communicate serial. with various hardware elements in printer 22 such as the print mechanism, magnetic stripe encoder, etc. Applications 12-20 operate in accordance with the operating system 26 of the computer 10. Operating 20 system 26 is illustrated as including driver software configured to handle the communications with printer 22. The various applications 12-20 provide, example, photo-rasterizing, bar coding, smart card 25 preparation and security. The particular generated by these applications is transferred to the printer 22 in accordance with a proprietary driver running in the operating system 26. This driver also operates in accordance with the specifications of

-7-

printer 22 and coordinates other printing parameters heating control, head control such as and also handles feedback received from printer for example, which is provided to the smart application 18. As discussed above, due to the number different operating systems, applications communication techniques, a large number of drivers must be created and must be properly configured by the manufacturer and the user.

Figure 2 is a simplified block diagram 10 showing an identification card printing system which includes a host computer 52 coupled to an identification card printer 54 through communication network 56. Communication network 15 can be any type of data link, including direct links such as through a universal serial bus (USB), serial or parallel port of a computer, an infrared link, or more traditional networks such as Ethernet, etc. Network media may be wireless, fiber, wire, coax cable or any future technology where messaging can be 20 transported. The preferred media is Ethernet 10BaseT or 100MB. Other traditional cabling such as serial, parallel or newer networking such as USB or Firewire In general, host computer may also be used. communicates with identification card printer 54 by 25 sending and receiving data objects through network 56 which are formed in accordance with an industry standard. In the particular embodiment illustrated in Figure 2, host computer 52 includes a number of

-8-

different identification card applications 58 and 60 along with a browser client 64 which couples through an IP (Internet Protocol) driver 66 to communication network 56. Applications 58-60 can be configured, for example, as plug-ins to browser 64 and communicate with browser 64 through a plug-in interface. Alternatives also include card applications which are configured in accordance with an HTML or XML form, XML objects, JAVA objects, etc. Example applications include text or graphics applications, smart card encoding applications, magnetic stripe encoding applications, printer security applications, printer diagnostic applications, bar code applications, etc. In another alternative, a server provide a direct interface for card applications 58-60 to network 56 such that card applications 58-60 can communicate directly network 56. For example, interface 68 can provide a data server in accordance with a standard such as (OPC) OLE for Process Control which is an extension to Microsoft OLE (Object Linking & Embedding) OPC, XML, etc.

Printer 54 includes a client 70 and a server 72 for coupling to network 56 and communicating with host 52. Applications 74 run in a microprocessor 76 in the identification card printer 54 under the control of an operating system 78. As explained in more detail below, applications 74 receive data objects from network 56 through client

10

15

70. Examples of such objects include images, smart card data, text, video, animation, voice, bar code information or magnetic strip information. Client 70 can be a browser client or a module of web server 72 to which is configured receive such objects. Applications 74 responsively control hardware drivers 80 to control various types of hardware in printer 54 such as a print head 82 or a magnetic recording head which used to place data 84 are onto an identification card 85. Any type of hardware application can be provided such as those discussed with respect to Figure 1 including RFID writers for proximity cards, laminators, feed mechanisms, printer code controls. bar writers, etc. Further, 74 are capable of communicating with applications applications 58 and 60 in host computer 52 through communication network 56 using a server such as a web server 72. Web server 72 can also communicate JAVA applets 86 over network 56. In one aspect, server 72 can serve various data types such as FTP, XML, SMNP, POP including POP3, any type of applet, etc.

With present invention, the various types of data objects which are transferred through network 56 can be hardware independent and can be created in accordance with an industry standard data object format such as that provided by XML or OPC. Thus, an image, such as a rasterized photograph for printing on an identification card by printer 54, is transferred to printer 54 in the form of a data

10

15

20

25

object. This data object is not printer specific. Instead, applications 74 which reside in printer 54 are used to perform the final conversion from the standardized format into the particular format required by hardware drivers 80. For example, print head 82 may require a particular format of a bit stream in order to create the image. However, the applications running on host computer 52 do not need any specific knowledge of this format or knowledge of the particular operation of the hardware within printer 54.

Server 72 is also capable of serving other types of data to host computer 52 such as diagnostic information, security lockout information, status information related to computer 54, video, sound, voice, etc. For example, server 72 can provide an output in the form of a webpage which contains information regarding the status or diagnostics of printer 54 for display on a browser 64 in host computer 52.

The present invention can utilize industry standard which is capable of communicating data objects. Two competing technologies are emerging in the personal computing and networking arena The Microsoft and Intel based computer are based on the COM (Component Object Model), DCOM (Distributed COM) and Active X Controls. This object oriented technology base provides for wrapping software functions in a package or object with a standard

intervital.

interface and protocol. This technology enables a reuse and permits degree of software an application over distribution of а network. Networked applications permit the execution of an application between collection of hardware a platforms and operating systems.

The second competing technology family is CORBA, JAVA and RNII. These technologies are led by Hewlett Packard and Sun Microsystems. These technologies are also object based and are also capable of networking applications. Java is hardware independent, which results from its Java Virtual Machine (JVM). However, the JVM does add overhead and can reduce performance. This technology is often used with the UNIX operating system. These technologies provide for a change in computing from the single CPU based machine to networked computing.

By applying this technology to identification card printers, the printer becomes a node on the network with capabilities for computing and sharing of networked objects. This expanded role for the identification card printer, as both a client and server of data and objects, provides a new opportunity to solve the dilemma of many custom print drivers and well as providing for new printer functions.

HTML and XML are examples of page markup languages that can be used with the invention. XML is a preferred architecture for defining data types,

10

15

-12- Juntan

which can be easily parsed. This flexibility provides a clean solution for parsing card graphics, text, magstripe encoding, smart card encoding, etc. It also readily enables communicating new data types such as audio or video. The potential for providing audio instruction or alerting an operator of a printer malfunction from an audio message sent from the printer to a PC is possible.

embedded software systems consisting of a single or multiple embedded micro controller which are used for network communications, print head control and the other related card manufacturing control tasks. The typical embedded controller is an 8 or 16 bit micro controller such as the Motorola 68HC11 or HC16 family. An embedded micro controller such as the Motorola MPC823 can manage the network communication from a host or client, as well as controlling the electro-mechanical components of the printer.

20 The software control in such microprocessors typically employs a "round robin" or interrupts driven architecture with a single software thread. The use of a kernel can improve performance and permits multi-threading similar to that used on PC platforms for running multiple applications at the 25 time. One such architecture is shown described in co-pending application Serial No. 09/477,970, filed January 5, 2000 and entitled PRINTER OR LAMINATOR WITH MULTI-THREADED **PROGRAM** 

NSDOCID: <WO\_\_\_\_0106345A1\_I\_>

-13-

ARCHITECTURE which is incorporated herein by reference. Preferably, an operating system or kernel provides efficient use of the CPU and to provide for multitasking of communications, printer control etc. embedded system for the of the invention. embedded system may use a custom, proprietary operating system or a commercial embedded operating system such the RTXC, Nucleus, as Wind system, Microsoft CE operating or a JAVA based operating system with a Java Virtual Machine.

The present invention is applicable to any type of printer with a primary task receiving a file, object, or bit stream, of text and image data and printing the image and text to a media such as paper, or a plastic card.

In one aspect, server 72 of Figure 2 is a hybrid server embedded in the micro controller 72 a client to data hosted by a which serves as networked host such as a PC 64 or an additional printer. The client subscribes to data such as the print file or encoding information or objects. hybrid server can also serve data such as printer health over the network to other clients such as a PC, a remote PC or another printer. In one aspect, the hybrid server is capable of executing a networked application where part or all of the computing is performed in the printer and other portions may be performed on the networked hosts or other serving devices such as printers or cameras. Example object

5

10

15

20

servers include OPC and RPC, COBRA and JAVA. Other object based network servers can operate compatible networked applications. The protocol for the object based messaging is also not critical, the preferred protocols will be the based protocols such as TCP, UDP, IP, ICC, RPC, XML, HTTP, SNMP, CDPD, RMI, IIOP, etc. This protocol can run on top of IP. TCP is preferred for Internet based usage due to its robustness. UDP will suffice for direct connect or short distance Intranet usage where robustness is not as important. The printer will typically include an appropriate media driver, e.g., Ethernet 10BT, 100MB, ATM, USB, parallel, serial, etc. Internet webpage technologies include XML, HTML, etc. The invention is capable of serving as a webpage host or client. A web browser based printer previously disclosed. The printer must have appropriate media driver. In general, the printer can serve or subscribe to objects such as the COM/Active X Controls or the JAVA derivative CORBA or Enterprise Java Beans (EJB). An OPC based hybrid server and/or an RPC and Java based server.

In one aspect, the identification card printer can serve or subscribe to objects such as the DCOM/Active x Controls or the JAVA derivative EJB, or CORBA ORBS. This invention can be used as a node of a network. The network may include a computer such as a PC, a mainframe, etc. Preferably, the networked hybrid server printer uses a technology base that is

10

15

20

compatible with the network and the host application.

A solution for the Microsoft family employs

DCOM/Active X technologies with an OPC hybrid server.

One preferred embodiment combines a Java based embedded hybrid server with a DCOM object based host server, which enables the identification card printer to inter-operate with all host computer applications.

In one embodiment, the printer also operates as a server to other networked printers. In this aspect, the printer can serve data or objects to a second printer such as a load sharing printer, which acts as a client and is able to subscribe to objects being served from printer.

In one aspect, the invention can also be used as an integrated standalone unit where the host application runs on the printer. The printer can be networked with other devices such as digital camera and other printers that are clients.

In one aspect, the printer 54 can provide audio and/or video data to the browser 64 to alert the user to problems with the printer. An audio message is sent to the PC speaker system to alert the user to the need to replace supplies, service the printer etc. The web server 72 of the printer 54 can contain audio/video data files which can be uploaded to the network PC and played with a video application to illustrate to a user how to use the printer or show an animated video of where a problem is located

20

-16-

in the printer (card jam, motor failed, out of supply etc).

XML can also be used to communicate security data between the PC 52 and the printer 54. Cards for financial purposes often require a secure 5 system to avoid the use of the printer to make fraudulent credit cards, driver's licenses, etc. A public key/private key system is often used provide security. A database of public keys included in the PC resident application and operator is required to use their private key to operate the machine. XML provides an ability to identify a special data type for the public key and the encrypted private key.

15 In general, the embedded XML parser client 70 and/or server 72 in the printer 54 is able to parse incoming data based upon data type, route the data to the appropriate application in the printer. For example, the print file image or text data is routed to the printing application, 20 the magstripe encoding data is routed mag application, etc. Conversely, the XML parser can assemble a message sent by the printer over network to other networked devices, such as the PC 25 52, and can include data such as alarms and alerts, security key, video and audio simulation of a card jam, email a message to service provider etc.

-17-

Examples of various types of data that can be transmitted to or from the printer 54 in accordance with a standardized data format include:

## Table 1.- XML ID Card Printer Data Types

	Table 1	XML ID Card Printer Data Typ
5	Print File	Image Data Text Data Bar Code Data
10	Mag Stripe	Encoding Data Public Key Private Key
15	Prox Card	Encoding Data Public Key Private Key
20	Smart card	Encoding Data Public Key Private Key
25	Lamination	Card Material Geometry Data Film Material/Geometry Data Lamination Parameters Public Key Private Key User ID (Security) Lamination ID (Security) Supplies History
30	Configuration	Print Head Settings Lamination Settings Application Specific Settings Control Constants Lamination Tables
35		Networking
40	Biometrics	Public Key Private Key Finger Print Voice Print

-18-

Instructions Audio Data

Video Data

Animation Data

5 Diagnostics Printer Failure Data

Audio Data Security Data

Email Message Text

10 Video

Audit

Prox Card Encoding Data

Public Key

15 Private Key

FIG. 3 simplified diagram of is networked system 150 which includes a personal computer 152 coupled to an identification card 20 printer 154 through a network 156. illustrates the various layers of computer 152 and printer 154. Computer 152 is shown running applications 158 and 160 along with a browser client These applications interact and operate through 25 an operating system 164 through a spooler 166 and GDI A language monitor 170 and port monitor 172 couples to operating system 164. In one example, a language monitor and port monitor is shown described in co-pending U.S. Patent Application 09/571,110, filed May 30 Serial No. 15, 2000, entitled IDENTIFICATION CARD PRINTER INTERFACE which is incorporated herein by reference. In XML parser also provided in personal computer Language monitor 170, port monitor 172 and XML parser

-19-

174 cooperate with a TCP/IP layer 176 which formats data and receives data from network 156. Network 156 can be configured in accordance with any data communication standard and can be a point-to-point link such as that provided by a serial data bus or other extensions of such standards such as USB, fire wire or others that may become available.

Printer 154 includes a communication layer 180 configured to send and receive data on network 156 in accordance with the TCP/IP standard. Software on printer 154 operates under the control of kernel kernel services 182 which is run microprocessor 184 such as MPC823. а Motorola Printer 154 also contains an XMLparser 186 configured to parse data received from network 156. Printer 154 runs a browser client 188 capable of receiving, for example, data formatted in accordance with the HTTP or XML standards. Further, printer 154 can run server software such as a web server 190 or an SNMP server 192. With these layers, printer 154 is capable of transmitting information to a browser client, such as browser client 162, over network 156. Further SNMP server 192 can be used notification in accordance with an e-mail standard to a remote location. The various software layers in 154 interact with printer embedded printer applications 194 for printing and encoding identification card 85 shown in Figure 1. Other types of printer application. include applications

AHL:
balring
extende

10

15

20

25

BNSDOCID: <WO\_\_\_\_\_0106345A1\_I\_>

laminate identification an card, security applications. smart card encoding applications. magnetic strip encoding applications, proximity card encoding applications, printer configuration applications, diagnostic and status applications, and others.

In the embodiment illustrated in FIG. 3, computer personal 152 is used to create an identification card using, for example, applications 158 and 160 and/or browser 162. The applications can 10 be standardized applications or, if a browser is used to prepare and identification card, a form embodied on a web page can be provided to an operator which is generated by web server 190 in printer 154. printer 154 can provide pointers using, for example, 15 the URL standard, to a remote web server which provides an identification card form. Such a remote web server can exist at the manufacturer of the identification card printer or at a centralized location such as a central government office used to 20 provide the format for drivers licenses. Printer 154 can also provide operation instructions to browser client 162 or provide a pointer to a remote location which contains such instructions. In one aspect, printer 154 is capable of receiving software updates 25 through network 156 from a remote location using XML parser 186 or browser client 188.

In one aspect, printer 154 can provide diagnostic and status information over a network.

-w-3

PCT/US00/18962

5

10

15

20

1 8.3.5 p

-21-

This data can be provided in accordance with the techniques set forth herein. In this aspect, the printer can be monitored and conditions diagnosed from remote locations. Further, the diagnostics can be performed on a remote system which may have more advanced diagnostic algorithms and techniques and provide greater computational power than printer 154.

In general, printer 154 is provided for image onto a medium such as an printing identification card 85 shown in Figure 2. A print mechanism such as print head 82 is adapted to deposit material onto the identification card. A network adapter such as communication layer 180 is configured to couple to network 156. A print driver module is part of the embedded printer applications 154 and is configured to drive the print head 82 in response to a drive module input which is provided by, example, browser 188 or XML parser 186. Browser 188, 190 provide web server and XML parser 186 coupled which is to module client/server communication layer 180 and is configured to function a client on the network 186 and responsively provide data to the drive module input of embedded printer applications 194. Further, the client/server module is configured to function as a server on the network 156 and serve data onto the network. client/server module is adapted to communicate with the network 156 in accordance with a communication protocol. In one aspect, printer 154 contains an



Internet Protocol (IP) address for use communication layer 180 which uniquely identifies printer 154 on network 156.

As discussed above, in one aspect of the 5 invention data is communicated to the printer using XML or extensible markup language. XML allows for the generic description of data. That is, it can be used to define data without the application knowing what the format is ahead of time. This is achieved through the use of a DTD, or Data Type Definition. Another 10 type of data definition is called a SCHEMA. The XML parser reads in this DTD and then formats the data in accordance to the rules contained. This allows the parser to check the syntax as well as resolve any 15 entities. An internet appliance can benefit from this through the use of ubiquitous data. This appliance can receive any data that may be of benefit and use it without knowing its format. Conversely, data can be presented in such a way that it can be resolved to mean different things to different appliances.

XML can contain any type of data, that is it can contain binary, replaceable text, processing instructions and tags. The following is a description of some of these types, it should be noted that these data types are not all inclusive.

Binary data in XML is represented by the CDATA tag. This tag allows anything that resides between the symbols <![CDATA and]] > to be ignored by the parser. This allows any character, within the



20

-23-

Unicode set that XML understands, to be inserted. This effectively allows any binary data to be included in this block. As an example, sound is represented as a binary file. A program can translate the information into sound, for example. This technique can be used to encapsulate any type of data in an XML document. Doing so allows a document to contain very rich content.

Replaceable Text or an Entity can be parsed or non-parsed text. Replaceable text is of the parsed kind. This allows a parser to replace each occurrence with the contents of the defined entity. An example of an entity definition would be:

<!ENTITY%pub"&#xc9;ditionsFargo">

<!ENTITY rights "All rights reserved">

<!ENTITY book "Instruction Manual,

© 2000 %pub; . &rights; ">

This would then be resolved by the parser as:

Instruction Manual,

20 © 2000 Editions Fargo.&rights;

The "&rights" reference would be expanded should the "&book" reference appear in the document.

A processing instruction allows a document to contain instructions for the application. This allows the application to use these instructions without the parser interpreting them. An example would be:

<? Printer color=blue?>

15

10

15

This instruction is passed to the application as a printer instruction with the variable color set to blue. The most common form of this instruction is the XML declaration itself.

A tag is denoted by the start tag/end tag sequence. An example is <color> #x3 </color>. This defines the value of color to be 3, as denoted by the hexadecimal number between the tags. This tag sequence can be defined in the DTD and can be validated against the definition of the tag.

Using the DTD that XML provides, the data type used by the printer to render an image can be described. If an image is considered a collection of objects that form a document, then this document can be described in an orderly method using XML. Utilizing XML to provide the document description allows for a portable format that is removed from the application.

To print a document on a printer, the 20 printer first breaks down each element collection of objects that reside on the card. These can be different for each card. XML even includes syntax to describe data that does not need to be present for all documents. Taking this into account 25 all the elements that comprise a card can be included and it can be specified what the configuration is and printing then requires only those elements. As each object is included on the document, it is then possible to describe a set of

-25-

rules that would come into effect when an application uses that particular object. This allows for the maximum flexibility without creating an overly complex document.

5 The DTD can contain definitions for such elements in the document as:

Document Version

Image Data

Text Data

10 Barcode Data

MagStripe Data

Smart card Data

Lamination Data

Configuration Data

15 Biometric Data

Multimedia Data

Diagnostic Data

Video Instruction Data

Audio Data

Each of these elements can be broken down to their component pieces. For example Image Data could contain:

Data Source

Color Heat Setting

25 Image Type

Data Collection Method

Encryption Type

Encryption Key

Picture position

-26-

#### Picture Gamma Correction

While not all of these elements are needed to describe a picture, they do describe all the properties that a picture can contain. Those not needed are set to optional. Once each element of a document is described, the complete layout of the card should be descriptive enough to handle any occurrence. When new methods for encryption, media collection, or data manipulation are created, the version number can be used to differentiate this data from the old data. This allows for flexibility without sacrificing the integrity of the data.

Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

20

15

-27-

#### WHAT IS CLAIMED IS:

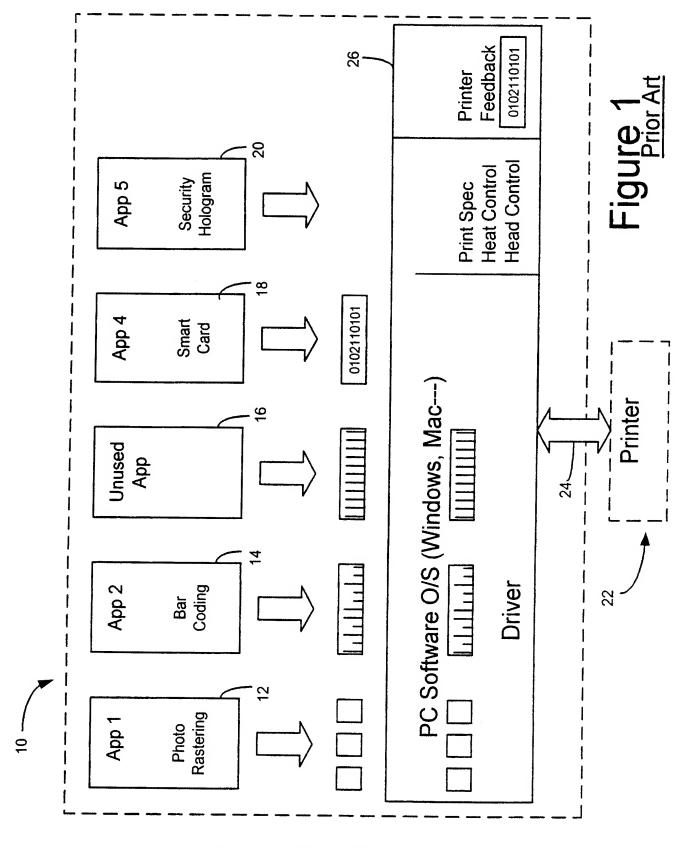
- 1. An identification card printer for printing an image on an identification card, comprising: a print mechanism adapted to deposit material on to the identification card;
  - a network adapter configured to couple to a network;
  - a print drive module configured to control
     the print mechanism in response to a
     drive module input; and
  - a client/server module coupled to the network adapter configured to function as a client on the network and responsively provide data to the drive module input, the client/server module further configured to function as a server on the network and serve data to the network, the client/server module adapted to communicate with the network in accordance with a protocol.
- 2. The identification card printer of claim 1 including a network address in accordance with an Internet Protocol (IP).
- 3. The identification card printer of claim 1 wherein the client module includes a data parser.
- 4. The identification card printer of claim 3 including a data writing device and wherein the data parser directs data to the data writing device.

- 5. The identification card printer of claim 1 wherein the server serves diagnostic information on the network.
- 6. The identification card printer of claim 1 wherein the client is configured to receive data in accordance with an object based data structure which contains data objects for writing onto an identification card.
- 7. The identification card printer of claim 6 wherein the object based data structure comprises XML.
- 8. The identification card printer of claim 1 wherein the server is configured to serve data in accordance with the HTML standard.
- 9. The identification card printer of claim 1 wherein the server is configured to serve a network address of another node on the network, the network address in accordance with the Internet Protocol (IP).
- 10. The identification card printer of claim 9 wherein the IP address is a pointer to an identification card printer manual located on a remote server.
- 11. The identification card printer of claim 9 wherein the IP address is a pointer which points to diagnostic information on a remote server.
- 12. The identification card printer of claim 11 wherein the client server module serves diagnostic data to a remote client.

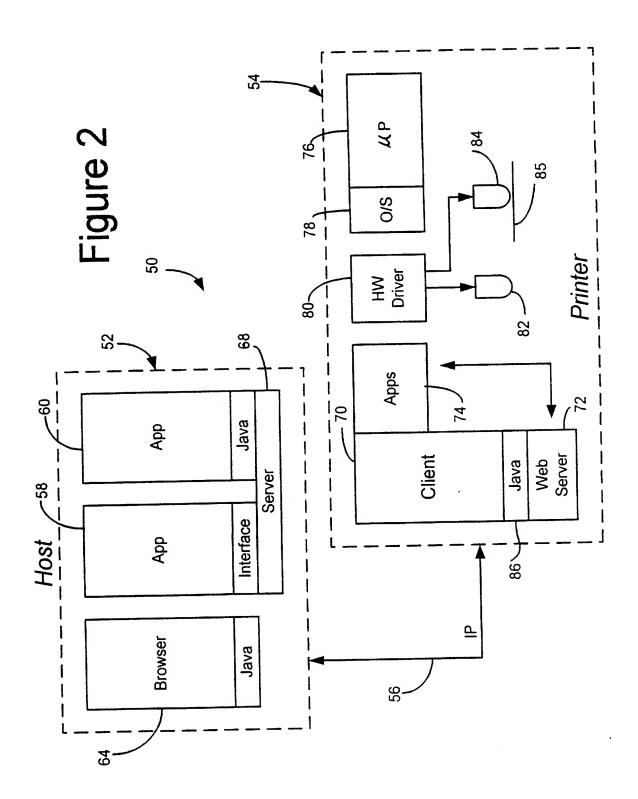
- 13. The identification card printer of claim 9 wherein the IP address is a pointer which points to a card format located on a remote server.
- 14. The identification card printer of claim 9 wherein the IP address is a pointer which points to a location for receiving software updates from a remote server.
- 15. The identification card printer of claim 1 wherein the server is configured to provide printer status information.
- 16. The identification card printer of claim 1 wherein the network adapter is configured to couple to Ethernet.
- 17. The identification card printer of claim 1 including an operating system to support the client/server module, the operating system providing multi-threaded operation.
- 18. The identification card printer of claim 1 wherein the server comprises an SNMP server.
- 19. The identification card printer of claim 1 wherein the server comprises an SMTP server.
- 20. The identification card printer of claim 1 wherein the server comprises a POP3 email server.
- The identification card printer of claim 1 including a data parser and a laminator and wherein the data parser directs laminator related data to the laminator.

- 22. The identification card printer of claim 4 wherein the data writing device comprises a magnetic stripe recorder.
- 23. The identification card printer of claim 4 wherein the data writing device comprises a smart code encoder.
- 24. The identification card printer of claim 4 wherein the data writing device comprises a bar code writer.
- 25. The identification card printer of claim 4 wherein the data writing device is configured to write RFID data to a proximity card.
- 26. The identification card printer of claim 1 wherein the server is configured to serve an applet.
- 27. The identification card printer of claim 26 wherein the applet is in accordance with JAVA.
- 28. The identification card printer of claim 6 wherein data objects are definable by a protocol of the object based data structure.
- 29. The identification card printer of claim 1 wherein the server is configured to serve audio data.
- 30. The identification card printer of claim 1 wherein the server is configured to serve video data.
- 31. The identification card printer of claim 1 wherein the server is configured to serve audio data.
- 32. The identification card printer of claim 1 wherein the server is configured to serve print data to a remote printer coupled to the network.

- 33. The identification card printer of claim 1 wherein the client is configured to receive data in accordance with the XML standard.
- 34. The identification card printer of claim 1 wherein the client is configured to receive security data in accordance with a public/private key encoding standard.
- 35. An identification card printer for printing an image on an identification card, comprising: a print mechanism adapted to deposit material on to the identification card;
  - a network adapter configured to couple to a network;
  - a print drive module configured to control
     the print mechanism in response to a
     drive module input; and
  - a client module coupled to the network adapter configured to function as a client on the network and responsively provide data to the drive module input, the client module adapted to communicate with the network in accordance with a protocol.
- 36. The identification card printer of claim 35 including a network address in accordance with an Internet Protocol (IP).
- 37. The identification card printer of claim 35 wherein the client module includes a data parser.



SUBSTITUTE SHEET (RULE 26)



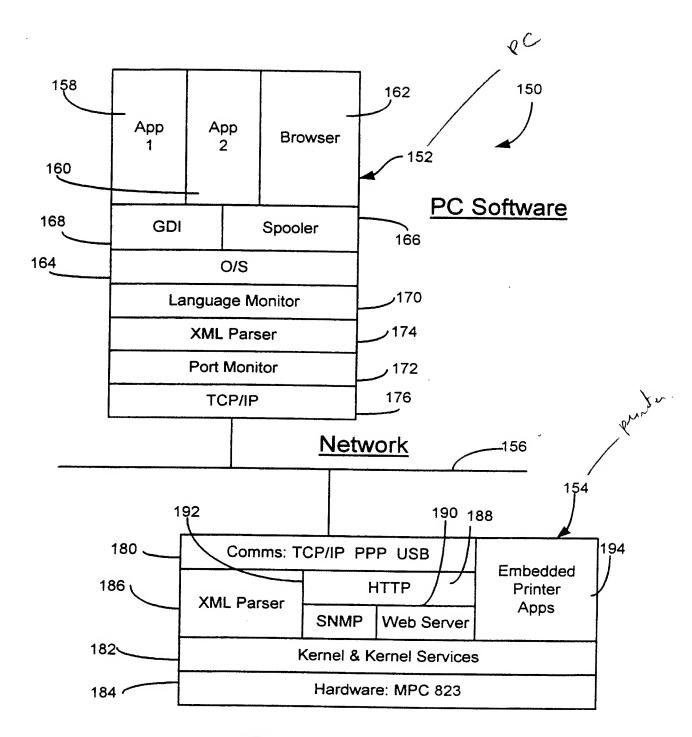


Figure 3

### INTERNATIONAL SEARCH REPORT

Interna 31 Application No PCT/US 00/18962

A. CLASSIF IPC 7	FICATION OF SUBJECT MATTER G06F3/12				
According to	International Patent Classification (IPC) or to both national classif	ication and IPC			
B. FIELDS	SEARCHED				
IPC 7	cumentation searched (classification system followed by classifica G06F B42D B32B				
	ion searched other than minimum documentation to the extent tha				
	ata base consulted during the international search (name of data ternal, PAJ, WPI Data	pase and, where practical, search	(erms used)		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.		
Х	WO 96 39656 A (APPLE COMPUTER) 12 December 1996 (1996-12-12)		1-3,8,9, 16,17, 32,35-37		
	figures 2-6 page 5, line 6 -page 15, line 2	4			
X	EP 0 924 597 A (LEXMARK INT INC 23 June 1999 (1999-06-23)	)	1-4,8, 16-19, 26,27, 32,33, 35-37		
	the whole document				
A	WO 99 21713 A (FARGO ELECTRONIC 6 May 1999 (1999-05-06) the whole document 	S INC)	1,21,35		
Fun	ther documents are listed in the continuation of box C.	X Patent family member	rs are listed in annex.		
	ther documents are listed in the continuation of box C.  ategories of cited documents:				
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international		<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention</li> </ul>			
filing "L" docum which citatio	date  lent which may throw doubts on priority claim(s) or  n is cited to establish the publication date of another  on or other special reason (as specified)	cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the			
"O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but		document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  *8" document member of the same patent family			
later than the priority date claimed  Date of the actual completion of the international search		Date of mailing of the international search report			
3 November 2000		10/11/2000	10/11/2000		
Name and	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer			
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Weiss, P			

Form PCT/ISA/210 (second sheet) (July 1992)

#### INTERNATIONAL SEARCH REPORT

. . . .

... urmation on patent family members

Interna al Application No PCT/US 00/18962

Patent document cited in search repor	t	Publication date		Patent family member(s)	Publication date
WO 9639656	A	12-12-1996	US AU US US	5787237 A 6251696 A 5867636 A 5754747 A	28-07-1998 24-12-1996 02-02-1999 19-05-1998
EP 0924597	Α	23-06-1999	US JP	6003069 A 11272438 A	14-12-1999 08-10-1999
WO 9921713	Α	06-05-1999	EP	1024957 A	09-08-2000

Form PCT/ISA/210 (patent family annex) (July 1992)

	g · · · · · · · · · · · · · · · · · · ·	